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			Application Number 10/570,586			6 /		
O P FRANSMITTAL			Filing Date	)ate		May 3, 2006		
/ RIVI			First Named Inven	itor	Fabien Fill	nol		
( AUG: 0.8 TODO	Art Unit		Not Yet As	ssigned				
(to be used for any orrespondence after initial filing)  Total Washen 19 ages in This Submission 5			Examiner Name		Not Yet As	ssigned		
Total ages in This	Submissio	n 5	Attorney Docket N	umber	034299-68	35		
		ENCLO	SURES (check all tha	at apply)				
Fee Transmittal Form Drawing					After Alle	owance Communication to TC		
Credit Card Payment 2038 Attached	Credit Card Payment Form				Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application		Proprietary Information				
Affidavits/declaration(s	s).	Power of Attorney, Revocation Change of Correspondence Address			Status Letter			
Extension of Time Reques	it	Terminal Disclaimer		Other Enclosure(s) (please identify below):				
Express Abandonment Re	quest	Request for Refund			Six (6) Refe	rences Cited; Postcard.		
		CD, Number of CD(s)						
Information Disclosure Sta	ļ-,	☐ Landscape Table on CD						
Certified Copy of Priority Document(s)		Remarks						
Reply to Missing Parts/								
Incomplete Application  Reply to Missing Parts								
under 37 CFR1.52 or	,							
	SIGNA	ATURE OF A	APPLICANT, ATTO	RNEY, OI	R AGENT			
Firm THELEN REID &			R PRIEST LLP					
Signature			wh Cend					
Printed Name Masako Ando								
Date July 28, 2006			Reg. No. Ltd. Rec. No. L0016					
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Signature Mr. 13								
Typed or printed name Monica Pizarro					Date	July 28, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket No. 034299-685

# AUG: 03-2006 PIN THE UNITED ST

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

PETCANT:

Fabien Filhol et al.

**SERIAL NO.:** 

10/570,586

FILING DATE:

May 3, 2006

TITLE:

Electrically Rotationally Actuatable Micro-Mirror Or Micro Lens

**EXAMINER:** 

Not Yet Assigned

ART UNIT:

Not Yet Assigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date printed below:

Date: 7/28/06

Name

Monica Pizarro

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, Va 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits this Information Disclosure Statement (IDS) including a Form PTO-1449 containing a list of references which may be material to the examination of the above-referenced application. This submission is not to be construed as a representation that a prior art search has been conducted, that additional information material to the examination of this application does not exist, or that any one or more of the listed references constitutes prior art.

The Examiner is urged to carefully consider this Information Disclosure Statement and all the listed references, and to indicate same by initialing the appropriate portion(s) of the Form PTO-1449 and forwarding an initialed copy to applicant.

I

This statement is filed pursuant to (CHECK ONE BOX):

## X 37 C.F.R. § 1.97(b). This information disclosure statement is filed either: (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; (3) before the mailing date of a first office action on the merits; or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, whichever event occurs last. Accordingly, this information disclosure statement requires no fee and no certification. 37 C.F.R. § 1.97(c). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of any of the following: (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application. Accordingly, this information disclosure statement requires either: (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); or (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2). 37 C.F.R. § 1.97(d). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires:

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); and
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

 $\Pi$ 

Fees	Fees Due (CHECK ONE BOX):						
$\boxtimes$	No fee is due.						
	The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).						
	Ш						
Certi	Certification (CHECK ONE BOX):						
$\boxtimes$	No certification is necessary.						
	Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:  That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.						
	Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:  No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this information disclosure statement.						
Please	Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.						
	Respectfully submitted, THELEN REID & PRIEST LLP						
Dated: July	28, 2006  Masako Ando Ltd. Rec. No. L0016						

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640

Tel: (408) 292-5800 Fax: (408) 287-8040

Page I of 1 Form PTO 1449 Atty. Docket No. Serial No. (Rev. 2-32) 034299-685 10/570,586 nt of Commerce Patent and Trademark Office Applicant: Fabien Fihol, et al. Information Disclosure Statement by Applicant Filed: Group: 2873 March 3, 2006 (Use several sheets if necessary) U.S. Patent Documents Filing Init. Document No. Date Name Class Subclass Date 11-20-98 /W.C./ 361 233 6,115,231 9-5-00 Shirakawa · Foreign Documents

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lnit.		Document No.	Date	Country	Class	Subclass	Yes	No
/W.C./	В	EP1193528	4-3-02	EP	G02B 26	08		
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Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)							
/W.C./	С	SEARCH REPORT (French Preliminary) FR 0350508000 (9-8-03).					
/W.C./	F	SENTURIA, J.R. Gilbert, et al., "Two-Phase Actuators: Stable Zipping Devices Without Fabrication Of					
/wv.G./		Curved Structures", Solid-State Sensor and Actuator Workshop, June 1996, Hilton Head S.C., (pgs. 98-100).					
2010	D	SCHWART, Wilfrid, et al., "A Micromachined Deformable Mirror For Adaptive Optics", Moems And					
/W.C./		Miniaturized Systems III, Proc. Of SPIE Vol. 498, vol. 4983 (1-29-03), pgs 230-241.					
av C E		PATTERSON, Pamela R., et al., "A Scanning Micromirror With	Angular Comb Drive Actuation", (2002				
/W.C./		IEEE), pgs. 544-547.					
Examiner		/William Choi/	Date Considered 03/20/2008				

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.